

Course description

CHV261

Internal Controls (IC) and SOX - General Understanding

Course goal

The participants get a general understanding over Internal Controls and SOX in general and in particular at ABB, including external requirements, as well as internal guidelines. They understand how the IC landscape in ABB Switzerland looks like, as well as the roles and responsibilities within it.

Learning objectives

Understand IC&SOX set-up in ABB Switzerland as well as the reasons and requirements behind. Knows the relevant tools and the related roles and responsibilities

Participant

All interested finance and non-finance employees in ABB CH

Prerequisites

none

Topics

- What is IKS/ICS (Internal Control System)
- What is SOX
- ABB Framework (The 3 Pillars)
- Process Overview
- The SOX Process
- Roles and Responsibilities
- ABB Management Testing
- Testing vs. Audit: Interdependencies
- Overview on the ICS Tools
- Examples from Real Life
- Q & A

Methods

Presentations, including real life examples

Duration

0.5 days (8:30 - 12:30h)

ABB Switzerland Ltd
LC Business Processes & Personal Development
Bruggerstrasse 72
CH-5400 Baden
Phone +41 58 589 32 25
Fax +41 58 585 28 00
E-Mail university@ch.abb.com

www.abb.ch/abbuniversity

Power and productivity
for a better world™

